Electronic Information Disclosure Statement

ÉLECTRON-JUMP CHEMICAL ENERGY
CONVERTER

Application:

JAN 0 6 2003

Confirmation:

9133

Applicant(s):

Anthony Zuppero

Docket Number:

22122878-10

Group Art Unit:

1745 175)

Examiner:

Diamond

search string:

(6114620 or 5641585 or 5593509 or 4793799).pn.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Citation No.	Patent Number	Date	Bar Code	Patentee	Class	Subclass
(40)	P01	6114620	2000-09-05		Zuppero et al.		
(0)	P02	5641585	1997-01-24		Lessing et al.		
	P03	5593509	1997-01-14		Zuppero et al.		
[t]	P04	4793799	1988-12-27		Goldstein et al.		

Signature

Examiner Name	Date
alad i	9/14/04

Pto/sb/08b (10-96)
Approved for use through 10/31/99. OMB 0551-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
The Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO

Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary) of

Comp	t if Kn wn	
Application Number	10/052,004	1.
Filing Date	1/17/2002	779/
First Named Inventor	Anthony C. Zuppero	>
Group Art Unit	1745 1753	\overline{C}
Examiner Name	Diamond	7
Attorney Docket Number	22122878-10	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, scrial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Υ2	
ADD	l	DANIEL J. AUERBACH, Hitting the Surface Softly, www.sciencemag.org, Vol 294 Science, December 21, 2001, pp. 2488-2489.	-	
ADD	2	M.D CUMMINGS AND A.Y ELE ZZABI, Ultarfast impulsive excitation of coherent longitudaL acoustic phonon oscillations in highly photoexcited InSb, 2001 American Institute of Physics, Volume 79, Number 6, August 6, 2001.	-	
ADD	3	J.W. GADZUK, Resonance-Assisted Hot Electron Femotochemistry at Surfaces, National Institute of Standards and Technology, Gathersburg, Maryland 20899, Physical Review Letters, Volume 76, Number 22, May 27, 1996.	_	
ADD	4	BRIAN GERGEN, HERMAN NIENHAUS, W., HENRY WEINBERG, ERIC W. McFARLAND, Chemically Induced Electronic Excitations at Metal Surfaces, www.sciencemag.org, Vol 294, December 21, 2001, Pgs 2521-2523.	,	
00 A	5	H.HOU, Y.HUANG, S.J. GUILDING, C.T RETTNER, D.J. AUERBACH, A.M. WOODTKE, Enhanced Reactivity of Highly Vibrationally Excited Molecules on Metal Surfaces, www.sciencemag.org, Vol 284, June 4, 1999, pgs. 1647-1650	,	
AQQ	6	Y.HUANG,C.T RETTNER, D.J. AUERBACH, A.M. WOODTKE, Vibrational Promotion of Electron Transfer, sciencemag.org, Vol 290, October 6, 2000, pgs.111-114.	-	
BOD	. 7	STEVEN p. IEWIS, ANDREW M. RAPPE, Controlling adsobate vibrational lifetimes using superlattices, 2001, The American Physical Society, Physical Review B, Bolume 63, 085402.		
ADD	8	HENRY WEINBERG, ERIC W. McFARLAND, A. MAJUNDAR, B. GERGEN, HERMAN NIENHAUS, W., H.S BERGH, Electron-Hole Pair Creation at Af and Cu Surfaces by Adsorption of Atomic Hydrogen and Deuterium, 1999 The American Physical Society, Physical Review Letters, Volume 82,	-	
non)	9	HENRY WEINBERG, ERIC W. McFARLAND, A. MAJUNDAR, B. GERGEN, HERMAN NIENHAUS, W., H.S BERGH, Direct detection of electron-hole pairs generated by themical reactions on metal surfaces, 2000 Elsevier Science B.V., Surface Science, pgs. 335-342.	ļ	
(0)	10	XIAOFENG, FAN, GEHONG, CHRIS LABOUNTY, AND BOWERS, JOHN E., CROKE, EDWARD, AHN, CHANNING C., HUXTABLE, SCOTT, MAJUMDAR, ARUN, SHAKOURI, ALI; SiGec/Si superlattice microcoolers; Applied Phuscis Letters, Volume 78, Number 11, 12 March 2001, Pg: 1580-1582.	,	
RD	11	FRIEDMAN, L., SUN G., SOREF, R.A.; SiGec/Si THz laser based on transitions between inverted mass light-hole and heavy-hole subbnads; Applied Physics Lettersl, Volume 78, Number 4, 22 January 2001; Pg: 401-403.	,	

Examiner Signature	al D	Date Considered	7/14/04

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/088 (10-01)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB

control number.

Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary) of Sheet

Compl t if Kn wn			
Application Number	10/052.004	7	
Filing Date	1/17/2002	Ø	
First Named Inventor	Anthony C. Zuppero		
Group Art Unit	1745- 1750		
Examiner Name	Diamond		
Attorney Docket Number	22122878-10		

OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²	
PD)	12	HARRISON, P., SOREF, R.A.; Population-inversion and gain estimates for semiconductor TASER. (Date Unknown).	1	
A90	13	HARRISON, P., SOREF, R.A.; Room temperature population inversion in SiGe TASER design.	_	
Ω	14	HOHLFELD, J., WELLERSHOFF, SS, J., GUDDE, U., CONRAD, V., JAHNKE, E., MATTIAS; Electron and lattice dynamics following optical excitation of metals; Chemical	~	
		Physics 251(2000). Pg: 237-258.	-	
PDD	15	HOU, H., HUANG, Y., GOULDING, S.J., RETTER., C.T., AUERBACH, D.J., WODRKE, A.M.; Dierect multiquantum relaxation of highly vibrationally excited NO in collisions with O/Cu(111); Journal of Chemical Physics, vol. 110, 146. 223, June 3, 1999, pages 10660-10663.	1	
ar)	16	JONGMA, RIENK T., WODTKE, ALEC M.; Fast multiquantum vibrational relaxation of highly	-	
TUN		vibrationally excited O2; Journal of Chemical Physics; Volume 111, Number 24; 22 December 1999; Pgs: 10957-10963.	^	
ans	17 (KAWAKAMI, R.K., ROTENBERG, E., CHOI, HYUK J., ESCORCIA-APARICIO, ERNESTO J., BOWEN, M.O., WOLFE, J.H., ARENHOLZ, E., ZHANG, Z.D., SMITH, N.V., QIU, Z.Q.,	,	
		Quantum-well states in copper thin films; Letters to nature; Volume 398; 11 March 1999; www.nature.com.		
PD	18	MD. GOLAM MOULA, SURGIO WAKO, GENGYU CAO, IVAN KOBAL, YUICHI OHNO, TATSUO MATSUSHIMA; Velocity distribution of desorbingCO2 in CO oxidation ion Pd(110) under steady-state conditions; applied surface science; 169-170 (2001); Pgs: 268-272.)	
AD	19	JEAN-PHILIPPE MULET, KARL JOULAIN, REMI CARMINATI, AND JEAN-JACQUES GREFFET; Nanoscale radiative heat transfer between a small particle and a plane surface; Applied Physics Letters; Volume 78, Number 19; 7 May 2001; Pgs: 2931-2933.	1	

Examiner Signature	ala Did	Date 9/14/04
Signature		Considered 177779

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English (anguage Translation is attached.

PTO/SB/08B (10-01)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
er the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB roer the Pape.

Substitute for form 1449B/PTO

Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary) of

Complet if Known			
Application Number	10/052, 004		
Filing Date	1/17/2002		
First Named Inventor	Anthony C. Zuppero		
Group Art Unit	1745 (753		
Examiner Name	Diamand		
Attorney Docket Number	22122878-10		

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
ADD	20	H. NIEHAOUS et al., "Direct detetion of electron-hole pairs generated by chemical reaction on metal surfaces", Surface Science 445 (2000), Pages 3350343 ろうちょうりょう	_
1000	21	H. NIEHAUS et al., " Selective H atom sensores using ultrathin Ag/Si Schottky diodes", Applied Physics Letters, Volume 74, Number 26, 28 June 1999, Pages 4046-4048.	-
500	22	J.J PAGGEL et al., "Quantum-Well States as a Fabry-Perot Modes in a Thin-Film Electron Interferometer", www.Sciencemag.org Science Vol 284 12 March 1999, Pages 1709-1711.	_
900	23(J.J PAGGEL et al., " Quasiparticle Lifetime in Macroscopically Uniform Ag/Fe(100) Quantum	
100		Wells", Physical Review Letters, Volume 81, Number 25, 21 December 1998, Pages 5632-5635.	-
A)	24 (J.J PAGGEL et al., " Quantum well photocmission from atomically uniform Ag films: determination of electronic band structure and quasi particle lifetime in Ag(100), Aplied Surface	
/W		Science 162-163(2000), Pages 78-85.	
POD	25	N.PONTIUS et al.," Size-dependent hot-electron dynamics in small Pdn-cluster", Journal of Chemical Physics, Vlolume 115, Number 22, 8 December 2001, Pages 10479-10483.	-
α	26	R.A SOREL et al., "Terahertz gain in a SiGe/Si quantum staircase utilizing the heavy-hole inverted effective mass, Applied Phusics Letters, Volume 79, Number 22, 26 November 2001, Pages	_
Apr		3639-3641.	

Examiner Signature	ala	5:1	Date Considered	9/14/94

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the Individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/088 (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB

Control number.

Substitute for form 1449B/PTO

Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary) of

C mpl t if Kn wn			
Application Number	10/052.004		
Filing Date	1/17/2000		
First Named Inventor	Anthony C. Zuppero		
Group Art Unit	-1745 INS J		
Examiner Name	TBA Diamend		
Attorney Docket Number	22122878-10		

OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS						
Examiner Initials	Cite No. ¹	include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the lem (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²			
PD	27	G. SUN et al., "Phonon-pumped terahertz gain in n-type GaAs/AlGaAs Superlattices, Applied Physics Letters, Volume 78, Number 22, Pages 3520-3522, May 28, 2001.				
ADD	28	V. P. ZHDANOV et al., "Substrate-mediated photoinduced chemical reactions on ultrathin metal films", Surface Sciene 432 (1999), Pages L599-L603.	j			
ADD	29	H. PARK et al., "Nanomechanical oscillations in a single-C60 transistor", Letters to nature, Volume 407, September 7, 2000, www.nature.com, Pages 57-60.				
ADD	30	G. SUN et al., "Phonon Pumped SiGe/Si Interminiband Terahertz Laser", Pages 1-11. (Date (La Kagua))	ahertz Laser", Pages 1-11.			
ADD	31	G. SUN et al., "Phonon-pumped terahertz gain in n-type GaAs/Al GaAs superlattices", Applied Physics Letters, Volume 78, Number 22, 28 May 2001, Pages 3520-3522.	~			
ADD	32	K. SVENSSON et al., "Dipole Active Vibrational Motion in the Physisorption Well", Physical Review Letters, Volume 78, Number 10, 10 March 1997, Pages 2016-2019.				
ADD	33 (RD. VALE et al., "The Way Things Move: Looking Under the Hood of Molecular Motor	~			
	(Proteins", Science, Volume 288, 7 April 2000, www.sciencemag.org, Pages 88-95.				
ADD	34	W. XU et al., "Electrical generation of terahertz electromagnetic pulses by hot-electrons in quantum wells, Superlattices and Microstructures, Volume 22, November 1,1997, Pages 25-29.	-			
W	G. SUN, R.A. Soref, J.B. KHURGIN; "Phonon Pumped SiGe/S Interminiband Terahertz Laser". (Date Unknown),		-7n.			

Examiner Signature	al Di	Date 9/14/04	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08B (10-01)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
or the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB

Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary) Sheet of

Complete if Known					
Application Number	10/52.004				
Filing Date	1/17/2000				
First Named Inventor	Anthony C. Zuppero				
Group Art Unit	1745-1753				
Examiner Name	-TBA Diamond				
Attorney Docket Number	22122878-10				

	OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), cublisher, city and/or country where oublished.	T ²		
ADD	36	P. ARMOUR et al., "Hot-electron transmission through metal-metal interfaces: a study of Aw/Fe/Au trilayers in GaAs substrates", Applied Surface Science 123/124 (1998), Pages 412-417.)		
ADD	37(C.D. BEZANT et al., "Intersubband relaxation lifetimes in p-GaAs/AlGaAs quantum wells below the LO-phonon energy measured in a free electron laser experiment", Vacuum Solutions	-		
		Online, Semicond. Sci. Technol. 14 No. 8 (August 1999) L25-L28, PH: S0268-1242(99)03669-X.	_		
AD	38(L. BURGI et al., "Confinement of Surface State Electrons in Fabry-Perot Resonators", Physical	-		
		Review Letters, Volume 81, Number 24, 14 December 1998, Pages 5370-5373.	-		
A DD	39	CAMPILLO et al., "Inelastic lifetimes of hot electrons in real metals", Physical Review Letters, Volume 83, Number 11, September 13, 1999, Pages 2230-2233.	_		
AD	40	CHIANG, TC., "Photoemission studies of quantum well states in thin films", Surface Science Reports 39 (2000) pp 181-235	-		
AD	41	DE PAULA, A. et al, "Carrier capture processes in semiconductor superlattices due to emission of confined phonons", J. Appl. Phys. 77 (12), 1995			
		pp 6306-6312.	-		
r - a decident per per a la segui					

Examiner	\bigcap \bigcap \bigcap	Date	al 14 11
Signature	Uland	Considered	9114104

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.